



Rec'd PCT/PTO 13 FEB 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Caroline Matheson

Appln. No.: 09/936,623

Filed : September 11, 2001

For : MAN-MACHINE DIALOGUE SYSTEM AND  
METHOD

Docket No.: M61.12-0392

Group Art Unit:

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED  
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

**Box Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

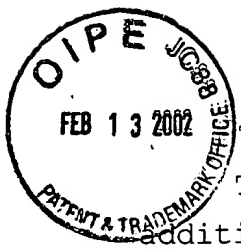
I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231, THIS

30 DAY OF January, 20 02  
  
PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of  
Application - Filing Date Granted dated November 9, 2001,  
Applicant encloses the following documents to complete the above-  
identified patent application filed September 11, 2001:

1. Our check in the amount of \$240.00 (to cover surcharge  
fee and extension of time).
2. Combined Declaration and Power of Attorney executed by  
the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date  
Granted.
5. Petition for One-Month Extension of Time and Fee.



The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,  
WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Steven M. Koehler, Reg. No. 36,188  
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SMK:jmb

# FEE TRANSMITTAL



Complete if Known

Application No. 09/936,623  
 Filing Date September 11, 2001  
 First Named Inventor Caroline Matheson  
 Title MAN-MACHINE DIALOGUE SYSTEM AND METHOD  
 Group Art Unit  
 Examiner Name  
 Atty. Docket Number M61.12-0392 #3

Total Amount of Payment \$ 240

## METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champin & Kelly, P.A.

2. ☒ Charge Authorization Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Larg Entity		Small Entity		Fee Description
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	
101	740	201	370	<input type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Prov. Filing Fee

02/21/2002 NGUYEN 00000044 09936623 Subtotal (1) \$ 0

### 2. EXTRA CLAIM FEES

110.00 OP

Number Claims	Prior**	Extra	Fee from Below	Fee Paid
03/26/2002 FSMITH 20000003 231123	18	0	0	0
01 FC:116	180.00 CH	0	110.00 OP	0

Multiple Dependent Claims

Adjustment date: 03/26/2002 FSMITH  
 02/21/2002 NGUYEN 00000044 09936623

Large Entity		Small Entity		Description
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
109	84	209	42	Reissue independent claims over Original Patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

Adjustment Date: 03/27/2002 FSMITH  
 03/27/2002 FSMITH 00000002 231123 09936623  
 01 FC:116 280 180.00 CH -110.00 OP

Subtotal (2) \$ 0

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
105	130	205	65	Surcharge - Late filing fee or oath	130
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)	
115	110	215	55	Extension for reply within first month	110
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	280	980	Extension for reply within fifth month	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
140	110	240	55	Petition to Revive - unavoidable	
141	1,310	241	670	Petition to Revive - unintentional	
142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)	
143	490	243	260	Design issue fee (inc. advance copies)	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	

Other Fee (specify)

03/27/2002 FSMITH 00000002 231123 09936623  
 Sale Ref: 00000002 DA#: 231123 09936623 Subtotal (3)  
 01 FC:116 280.00 CH 110.00 OP

Signature

(Steven M. Koehler)

Date

1/30/02

Reg. No. 36,188

Deposit Account No. 23-1123